

# Thirty Meter Telescope Adaptive Optics System Error Budgets and Requirements Traceability

Kayla Hardie<sup>a</sup>, Gelys Trancho<sup>a</sup>, Lianqi Wang<sup>a</sup>, Corinne Boyer<sup>a</sup>, Glen Herriot<sup>b</sup>,  
Scott Roberts<sup>a</sup>, Brent Ellerbroek<sup>a</sup>, Jean-Pierre Veran<sup>b</sup>, John Rogers<sup>a</sup>

<sup>a</sup>Thirty Meter Telescope International Observatory, 100 W. Walnut St., Pasadena, CA, USA 91124;

<sup>b</sup>National Research Council Canada, 5071 West Saanich Rd., Victoria, BC, Canada V9E 2E7

## ABSTRACT

The Thirty Meter Telescope (TMT) uses error budgets to understand and track the expected science parameters of the Observatory. In this paper, we demonstrate how the top-level requirements for wavefront error in both Multi-Conjugate Adaptive Optics (MCAO) and Natural Guide Star Adaptive Optics (NGSAO) modes have been decomposed and allocated between various sources that may cause performance degradation. We also show how those values have been integrated into the requirements for each individual subsystem. By integrating these error budgets into our requirements management process, we are able to maintain traceability between science and design, and understand how changes at a low-level could affect the overall AO performance of the Thirty Meter Telescope.

**Keywords:** TMT, NFIRAOS, WFE, Budget, Requirements, Traceability

## 1. INTRODUCTION

The TMT NFIRAOS NGSAO and LGS MCAO Wavefront Error Budget<sup>1</sup> takes the science requirements (SRD) for wavefront error and breaks them down following the TMT system decomposition. Observatory architecture requirements (OAD) are managed by Systems Engineering, and they are further decomposed into lower-level requirements which are managed by each subsystem team. The budget is split into LGS MCAO mode (Table 1) and NGSAO mode (Table 2).

To reduce the number of requirements and facilitate future changes, a single requirement is used for subsystems that have the same WFE allocation regardless of whether LGS MCAO (on-axis) or NGSAO mode. These allocations are shown in gray in the NGSAO budget, which, if also gray in the LGS on-axis budget, also denotes commonality between the LGS MCAO on-axis, 17"x17", and 30" field of view allocations. Some requirements in Table 1 are shown in pink, denoting common terms that, if changed, would require an update to the telescope seeing-limited budget. Values shown in white are for any allocations specific to LGS MCAO mode.

### 1.1 LGS MCAO Budget

The LGS MCAO budget (Table 1) is split into High Order and Low Order modes, with each showing the requirements decomposition based on on-axis, 17"x17", or 30" (nm) field of views. The higher order modes contain all modes beyond tip, tilt, plate scale, and global focus. Low order modes include global tip/tilt, focus, and plate scale that are controlled only by low order NGS WFS.

### 1.2 NGSAO Budget

The NGSAO budget (Table 2) is split into High Order and Low Order modes, and the requirements are decomposed based on mR=8 or mR=12 guide stars. The low order modes include tip/tilt only.

Table 1. TMT NFIRAOS LGS MCAO Wavefront Error Budget.

REQ #	Terms	On axis				17"x17"				30" Diameter			
		L0	L1	L2	L3	L0	L1	L2	L3	L0	L1	L2	L3
	NFIRAOS LGS MCAO and IRIS WFE	187				191				203			
REQ-0-SRD-0820	High Order Modes	173				190				190			
REQ-1-ORD-3530	Telescope												
REQ-1-ORD-3532	TCS	6				6				6			
REQ-1-OAD-0251	Pupil misregistration (Control)	6				6				6			
REQ-1-OAD-0252	M1S	29				29				29			
REQ-1-OAD-0253	M1 static shape	29				29				29			
REQ-1-OAD-0253	M1CS	14				14				14			
REQ-1-OAD-0254	Segment dynamic misalignment	14				14				14			
REQ-1-OAD-0254	M2S	13				14				16			
REQ-1-OAD-0255	M2 Static Shape	11				11				11			
REQ-1-OAD-0255	Focal Plane Tilt	0				6				10			
REQ-1-OAD-0255	Pupil misregistration (M2 actuators)	6				6				6			
REQ-1-OAD-0255	M3 Static Shape	11				11				11			
REQ-1-OAD-0256	M3 actuator	9				9				9			
REQ-1-OAD-0256	Pupil misregistration (M3 actuators)	6				6				6			
REQ-1-OAD-0256	APS	16				16				16			
REQ-1-OAD-0257	M1 shape calibration	16				16				16			
REQ-1-OAD-0257	Facilities												
REQ-1-OAD-0257	ENC	30				30				30			
REQ-1-OAD-0258	Dome Seeing	22				22				22			
REQ-1-OAD-0258	Mirror Seeing	20				20				20			
REQ-1-OAD-0258	Instrumentation												
REQ-1-OAD-0258	NFIRAOS SYSTEM	157				176				176			
REQ-1-OAD-0259	NFIRAOS OM	50				58				60			
REQ-1-OAD-0260	NFIRAOS-to-Telescope misalignment	0				20				20			
REQ-1-OAD-0260	Uncorrectable error	35				35				35			
REQ-1-OAD-0260	NCPA calibration error	25				33				35			
REQ-1-OAD-0260	DM/WFS pupil distortion	12				12				12			
REQ-1-OAD-0260	DM/WFS pupil misregistration	16				16				16			
REQ-1-OAD-0260	Telescope pupil misregistration (Measurement error)	6				6				6			
REQ-1-OAD-0260	Dynamic higher order error	5				5				5			
REQ-1-OAD-0260	Output beam misalign	15				15				15			
REQ-1-OAD-0260	AO Comp: WC	51				51				51			
REQ-1-OAD-0260	Actuator saturation	0				0				0			
REQ-1-OAD-0260	Failed actuators	19				19				19			
REQ-1-OAD-0260	Hysteresis	20				20				20			
REQ-1-OAD-0260	Dynamics	11				11				11			
REQ-1-OAD-0260	Influence function	0				0				0			
REQ-1-OAD-0260	Surface flattening	42				42				42			
REQ-1-OAD-0261	AO Comp: LGS/WFS	44				44				44			
REQ-1-OAD-0261	Offset/gain calibration	14				14				14			
REQ-1-OAD-0261	Na layer range tracking	12				12				12			
REQ-1-OAD-0261	Pt. src tomographic approx	0				0				0			
REQ-1-OAD-0261	Rayleigh fratricide	4				4				4			
REQ-1-OAD-0261	Signal variability	23				23				23			
REQ-1-OAD-0261	Diff. atmospheric refractive index	17				17				17			
REQ-1-OAD-0261	Chromatic anisoplanatism	0				0				0			
REQ-1-OAD-0261	Lenslet throughput and aberrations	28				28				28			
REQ-1-OAD-0262	AO Comp: RTC	28				28				28			
REQ-1-OAD-0262	Numerical precision	20				20				20			
REQ-1-OAD-0262	Cn2 Profile	20				20				20			
REQ-1-OAD-0263	AO Architecture	130				148				148			
REQ-1-OAD-0263	DM fitting error	74				74				74			
REQ-1-OAD-0263	DM projection error	48				48				48			
REQ-1-OAD-0263	LGS WFS aliasing error	26				26				26			
REQ-1-OAD-0263	Tomography Error	48				53				53			
REQ-1-OAD-0263	TMT pupil Function	14				4				4			
REQ-1-OAD-0263	Servo Lag	18				17				17			
REQ-1-OAD-0263	LGS WFS non-linearity	19				23				23			
REQ-1-OAD-0263	LGS WFS noise	51				53				53			
REQ-1-OAD-0263	Simulation Undersampling	48				48				48			
REQ-1-OAD-0264	IRIS	40				40				40			
REQ-1-OAD-0264	Design residuals	7				7				7			
REQ-1-OAD-0264	Chromatic aberration	14				14				14			
REQ-1-OAD-0264	Fabrication/installation	10				10				10			
REQ-1-OAD-0264	Alignment accuracy	8				8				8			
REQ-1-OAD-0264	Cool-down	6				6				6			
REQ-1-OAD-0264	Surface quality	26				25				26			
REQ-1-OAD-0264	Dynamic higher-order error	3				3				3			
REQ-1-OAD-0264	ADC effects	4				4				4			
REQ-1-OAD-0264	Glass inhomogeneities	12				12				12			
REQ-1-OAD-0264	NCPA calibration error	10				10				10			
REQ-1-OAD-0264	Others	14				14				14			
REQ-1-OAD-0265	LGSF	34				34				34			
REQ-1-OAD-0265	Surface roughness	30				30				30			
REQ-1-OAD-0265	Alignment and Fabrication	15				15				15			
REQ-0-SRD-0850	Low order Modes (Tip/tilt, Focus and Plate Scale)	68				68				68			
REQ-1-ORD-2730	Telescope												
REQ-1-OAD-0266	STR, M1, M2 and M3	37				37				37			
REQ-1-OAD-0266	Windshake tip/tilt error	16				16				16			
REQ-1-OAD-0266	Windshake plate scale error	5				5				5			
REQ-1-OAD-0266	Telescope structure vibration	28				28				28			
REQ-1-OAD-0266	Telescope tracking jitter	17				17				17			
REQ-1-OAD-0266	Instrumentation												
REQ-1-OAD-0267	NFIRAOS System	54				54				54			
REQ-1-OAD-0268	NFIRAOS OM	22				22				22			
REQ-1-OAD-0268	Internal NFIRAOS vibration	10				10				10			
REQ-1-OAD-0268	Field dependent WFE	20				20				20			
REQ-1-OAD-0269	AO Comp: WC	0				0				0			
REQ-1-OAD-0269	TTS/DM dynamics	0				0				0			
REQ-1-OAD-0269	DM hysteresis	0				0				0			
REQ-1-OAD-0270	AO Comp: RTC/RPG	0				0				0			
REQ-1-OAD-0270	RTC/RPG implementation	0				0				0			
REQ-1-OAD-0271	AO Architecture	50				50				50			
REQ-1-OAD-0271	Turbulence tip/tilt	32				32				32			
REQ-1-OAD-0271	Turbulence plate scale	38				38				38			
REQ-1-OAD-0272	IRIS	16				16				16			
REQ-1-OAD-0272	NFIRAOS to IRIS vibration	10				10				10			
REQ-1-OAD-0272	OWFS to Imager vibration	10				10				10			
REQ-1-OAD-0272	Internal IRIS imager vibration	7				7				7			
REQ-1-OAD-0272	WFS (OWFS/ODGW)	0				0				0			
Contingency		20				0				22			

Table 2. TMT NFIRAOS NGS AO Wavefront Error Budget.

REQ #	Terms	mR=8 guide star					mR=12 guide star				
		L0	L1	L1	L2	L3	L0	L1	L1	L2	L3
REQ-0-SRD-880	NFIRAOS NGS AO and IRIS WFE	158					185				
REQ-0-SRD-881											
REQ-1-ORD-3670	High Order Modes		149					185			
REQ-1-ORD-3671											
	Telescope										
REQ-1-OAD-0251	TCS		6					6			
	Pupil misregistration (Control)			6					6		
REQ-1-OAD-0252	M1S		29					29			
	M1 static shape			29					29		
REQ-1-OAD-0253	M1CS		14					14			
	Segment dynamic misalignment			14					14		
REQ-1-OAD-0254	M2S		13					13			
	M2 Static Shape			11					11		
	Focal Plane Tilt			0					0		
	Pupil misregistration (M2 actuators)			6					6		
REQ-1-OAD-0255	M3S		11					11			
	M3 Static Shape			9					9		
	Pupil misregistration (M3 actuators)			6					6		
REQ-1-OAD-0256	APS		16					16			
	M1 shape calibration			16					16		
	Facilities										
REQ-1-OAD-0257	ENC		30					30			
	Dome Seeing			22					22		
	Mirror Seeing			20					20		
	Instrumentation										
REQ-1-OAD-0273	NFIRAOS SYSTEM		134					174			
REQ-1-OAD-0274	NFIRAOS OM			51					51		
	NFIRAOS-to-Telescope misalignment			0					0		
	Uncorrectable error			35					35		
	NCPA calibration error			14					14		
	Registration Drifts after Calibration			15					15		
	Image Quality at Pyramid tip			25					25		
	Telescope pupil misregistration (Measurement error)			6					6		
	Dynamic higher order error			5					5		
	Output beam misalign			15					15		
REQ-1-OAD-0260	AO Comp: WC			51					51		
	Actuator saturation			0					0		
	Failed actuators			19					19		
	Hysteresis			20					20		
	Dynamics			11					11		
	Influence function			0					0		
	Surface flattening			42					42		
REQ-1-OAD-0275	AO Comp: PWFS WFS			38					38		
	Optical gain tracking			15					15		
	Pupil image location			12					12		
	Imperfect pyramid			16					16		
	Pupil image quality			16					16		
	CCD charge diffusion			17					17		
	Pupil image distortion			16					16		
	Modulation errors			0					0		
REQ-1-OAD-0276	AO Comp: RTC			20					20		
	Numerical precision			20					20		
REQ-1-OAD-0277	AO Architecture			105					152		
	DM fitting error			74					74		
	PWFS aliasing error			16					16		
	TMT pupil Function			14					14		
	Servo Lag			18					18		
	WFS non-linearity			64					64		
	WFS noise			0					0		
	Simulation Undersampling			26					26		
REQ-1-OAD-0264	IRIS			40					40		
	Design residuals			7					7		
	Chromatic aberration			14					14		
	Fabrication/installation			10					10		
	Alignment accuracy			8					8		
	Cooldown			6					6		
	Surface quality			26					26		
	Dynamic higher-order error			3					3		
	ADC effects			4					4		
	Glass inhomogeneities			12					12		
	NCPA calibration error			10					10		
	Others			14					14		
REQ-1-ORD-3669	Low Order Modes (Tip/Tilt and Focus)		29					29			
	Telescope										
REQ-1-OAD-0278	STR, M1, M2 and M3			22					22		
	Windshake tip/tilt error			2					2		
	Telescope structure vibration			21					21		
	Telescope tracking jitter			5					5		
	Instrumentation										
REQ-1-OAD-0279	NFIRAOS System			10					10		
REQ-1-OAD-0280	NFIRAOS OM			10					10		
	Internal NFIRAOS vibration			10					10		
REQ-1-OAD-0281	AO Comp: WC			0					0		
	TTS/DM dynamics			0					0		
	DM hysteresis			0					0		
REQ-1-OAD-0282	AO Comp: RTC/RPG			0					0		
	RTC/RPG implementation			0					0		
REQ-1-OAD-0283	AO Architecture			2					2		
	Turbulence tip/tilt			2					2		
REQ-1-OAD-0272	IRIS			16					16		
	NFIRAOS to IRIS vibration			10					10		
	OIWFS to Imager vibration			10					10		
	Internal IRIS imager vibration			7					7		
	WFS (OIWFS/ODGW)			0					0		
	Contingency		45					0			

## 2. TRACEABILITY TOOLS

TMT Error Budgets are generated in Excel and then integrated into the Rational Dynamic Object Oriented Requirements System (DOORS)<sup>3</sup>. DOORS is the source for all TMT requirements as the database allows us to link parent/child requirements together while also being able to generate user-friendly requirement documents for each subsystem.

Each top-level wavefront error allocation from the budget is translated into an individual requirement in DOORS. These allocations then flow down to a lower-level requirement for each contributing subsystem. Links are created between the requirements, providing us with full traceability from top to bottom. Traceability reports are generated directly from DOORS or using the DOORS Trace Tree<sup>4</sup> tool which shows us the same information in a graphical output (Figure 1, Figure 2). The graphical outputs are extremely useful when trying to identify if a requirement has not yet been traced, or has been mis-traced, to parent or child requirements.

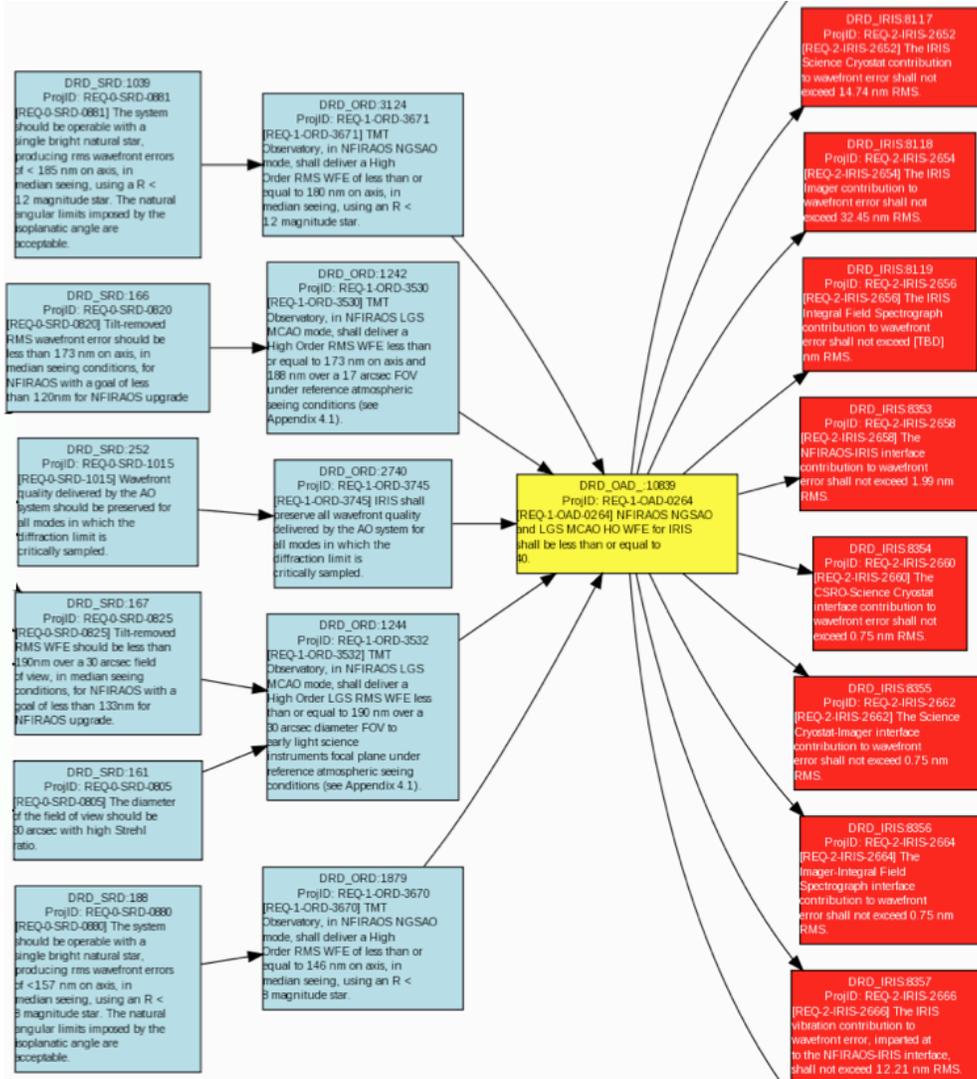


Figure 1. NGSAAO & LGS MCAO WFE Requirement Traceability for IRIS (note: not all IRIS requirements shown).

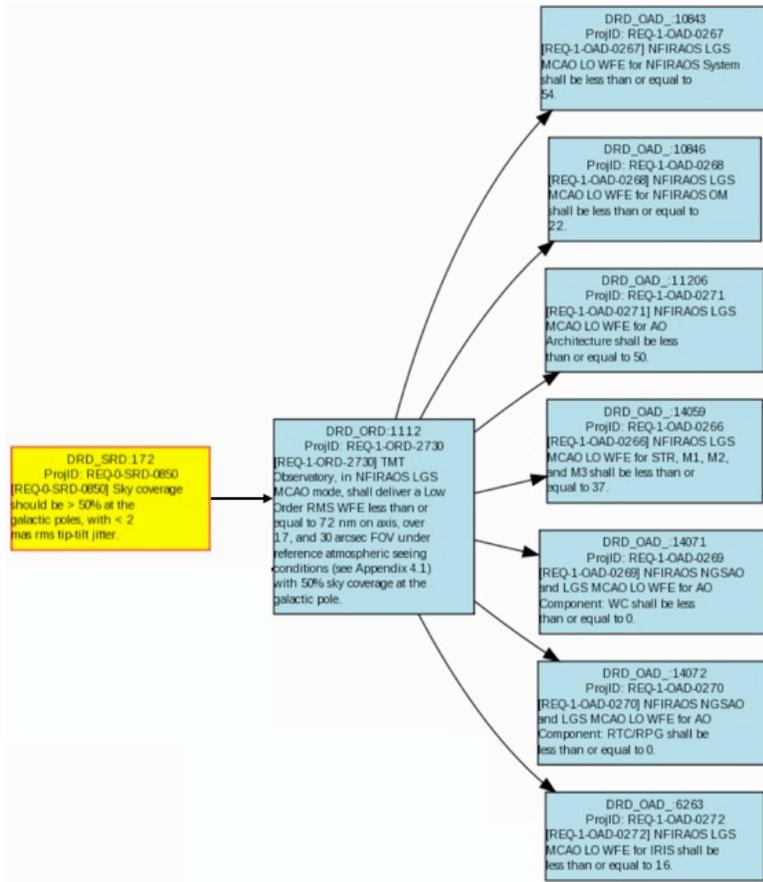


Figure 2. LGS MCAO Requirement Flowdown Example from Trace Tree.

### 3. NEXT STEPS

Work on the TMT NFIRAOS NGS AO and LGS MCAO Wavefront Error Budget is still in progress. Future work will include activities such as evolving the IRIS imager 34"x34" field of view allocations and incorporating point source sensitivity.

### 4. SUMMARY

Error budgets are an essential Systems Engineering tool to estimate the TMT AO system's future performance. Systems Engineering, with input from subsystem teams, is responsible for tracking changes to the overall AO error budget. By using the error budgets in conjunction with our traceability tools, we are able to quickly assess how changes in one part of the system may impact higher-level requirements, helping us to minimize risks associated with meeting system and science requirements.

## REFERENCES

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